

POST AWARD 101

Kyle Lewis

Deborah McIntosh

University of Connecticut

College of Liberal Arts & Sciences



POST AWARD 101

What is post award?

- Systems
 - References
 - Post award – Grant Management Services
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SYSTEMS

What system does your sponsored programs office utilize throughout the grant process?

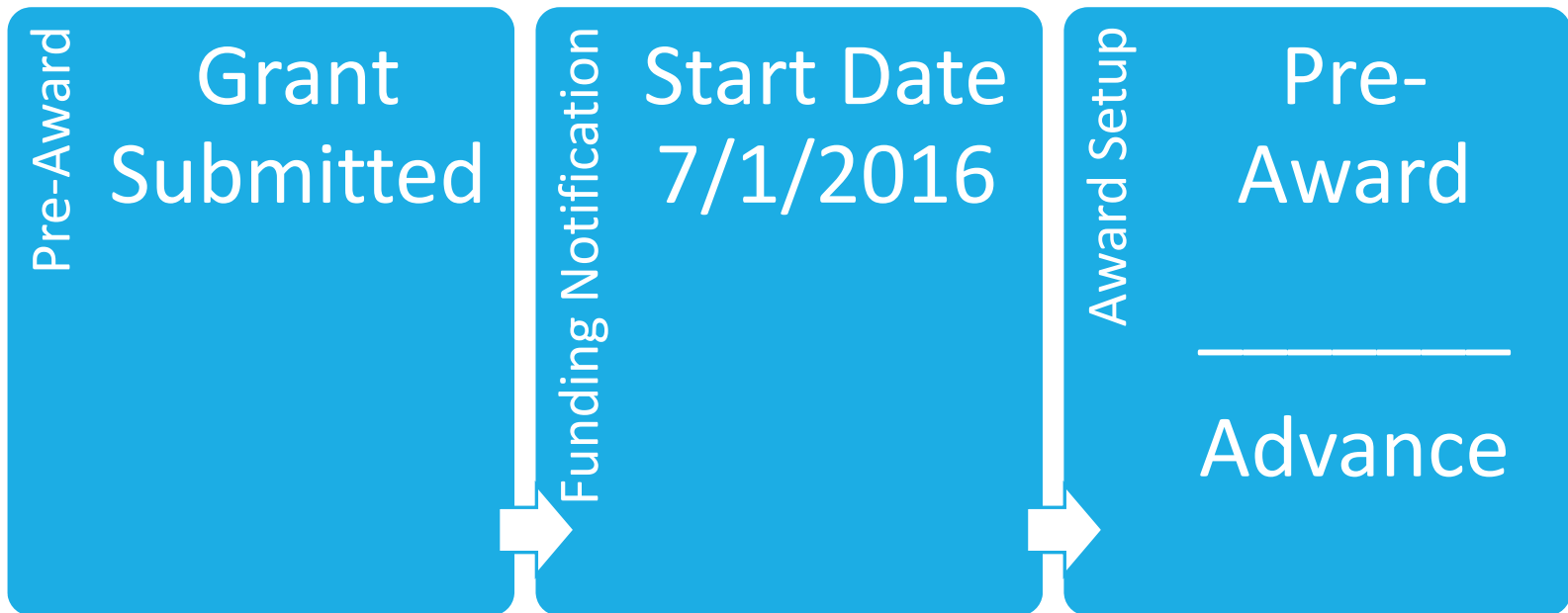
What other systems are in place to support your post award process?



REFERENCES

Can someone provide some examples of references that help support you in your role?

PRE-AWARD/ADVANCE REQUEST



NEW ACCOUNT SETUP

Notice of Award is received:
The account gets setup by SPS

What is your institution's process for account setup?



POST AWARD: ACCOUNT MANAGEMENT

What do you look for when reviewing an account?



UConn Sponsored Programs Services: Account Summary

Account: \$\$\$\$\$\$ (Tapeworms) 09/30/21016

Object Code	Object Description	Posted Current Budget	Posted Actual Amount	Posted Encumbrance Amount	Posted Available Balance
5100	Personal Services-Budget Pool	682,733.98	.00	.00	682,733.98
5112	Regular Payroll - Other Professional	.00	126,521.66	5,606.52	-132,128.18
5250	Payroll - Graduate Students	.00	26,210.86	.00	-26,210.86
5260	Payroll - Post Doctors	.00	198,869.92	.00	-198,869.92
*TOTAL Level Salary		682,733.98	529,816.15	5,689.89	147,227.94
5600	Budget Pool - Fringe Benefits	.00	56,365.90	.00	-56,365.90
5612	Fringe Benefits - Other Prof (5112)	.00	51,676.09	3,919.00	-55,595.09
5650	Fringe Benefits - Grad Students (5250)	.00	2,972.08	.00	-2,972.08
5660	Fringe Benefits - Post Doctors (5260)	.00	19,526.07	.00	-19,526.07
5725	Worker's Compensation	.00	5,400.61	61.14	-5,461.75
*TOTAL Level Fringe		.00	139,960.46	3,981.81	-143,942.27
6000	Budget Pool - Other Expenses	122,943.30	.00	.00	122,943.30
6638	Prof/Nonprof Svcs - Non-Consulting	303,811.50	302,846.37	.00	965.13
6775	Office Supplies	.00	1,595.49	.00	-1,595.49
6811	Other Lab Supplies	.00	32,927.12	.00	-32,927.12
6836	Computers <\$1,000	899.00	899.00	.00	.00
6837	Electronic Equip <\$1,000	.00	882.78	.00	-882.78
6840	IT Software License	.00	5,233.61	.00	-5,233.61
7645	Non Employee Reimbursements	289.40	1,674.88	.00	-1,385.48
*TOTAL Supplies		429,071.15	410,623.11	.00	18,448.04
7530	Graduate Tuition Fee Charge	.00	.00	.00	.00
*TOTAL Level Student Fees/Expenses		.00	.00	.00	.00
6603	Subagreements Less Or Equal To \$25K	125,000.00	125,000.00	.00	.00
6604	Subagreements Greater Than \$25K	416,579.70	406,589.70	.00	9,990.00
*TOTAL Level Subagreements		541,579.70	531,589.70	.00	9,990.00
7635	Out-of-State Travel	23,109.00	20,084.97	.00	3,024.03
*TOTAL Level Travel - Domestic		23,109.00	20,084.97	.00	3,024.03
7630	Foreign Travel	135,738.00	133,627.02	.00	2,110.98
*TOTAL Level Travel - Foreign		135,738.00	133,627.02	.00	2,110.98
8300	IT Equip/Computers/Hardware 1K<5K	.00	2,023.13	.00	-2,023.13
8315	Trans Grant Equipment <5,000	2,399.00	8,643.84	.00	-6,244.84
*TOTAL Level Equipment < \$5000		2,399.00	10,666.97	.00	-8,267.97
8200	Equipment Budget Pool	15,647.27	.00	.00	15,647.27
8202	IT Equip/Computers/Hardware >\$5K	.00	3,766.00	.00	-3,766.00
*TOTAL Level Equipment > \$5000		15,647.27	3,766.00	.00	11,881.27
*TOTAL Type Direct Costs		1,830,278.10	1,799,692.47	9,671.70	20,913.93
9900	ICR Expense	757,448.90	724,652.33	.00	32,796.57
*TOTAL Level Indirect Costs		757,448.90	724,652.33	.00	32,796.57
*TOTAL Type Indirect Costs		757,448.90	724,652.33	.00	32,796.57
TOTAL		2,587,727.00	2,524,344.80	9,671.70	53,710.50

POST AWARD: ACCOUNT MANAGEMENT

Are the budget categories in balance or is there a deficit?

Can anyone tell us a definition for re-budgeting?



RE-BUDGET EXAMPLE 1

UConn Sponsored Programs Services: Account Summary

Account: \$\$\$\$\$\$ (Traumatic Brain Injury) 5/31/2016


Object Code	Object Description	Posted Current Budget	Posted Actual Amount	Posted Encumbrance Amount	Posted Available Balance
5100	Personal Services-Budget Pool	135,919.55	.00	.00	135,919.55
5231	Payroll - Contractual	.00	22,266.00	.00	-22,266.00
5260	Payroll - Post Doctors	.00	52,511.65	16,615.39	-69,127.04
*TOTAL Level Salary		135,919.55	74,777.65	16,615.39	44,526.51
5600	Budget Pool - Fringe Benefits	29,770.00	.00	.00	29,770.00
5631	Fringe Benefits - Contractual (5231)	.00	4,765.18	.00	-4,765.18
5660	Fringe Benefits - Post Doctors (5260)	.00	12,056.76	4,419.66	-16,476.42
5725	Worker's Compensation	.00	806.64	175.52	-982.16
*TOTAL Level Fringe		29,770.00	17,628.58	4,595.18	7,546.24
6000	Budget Pool - Other Expenses	52,791.00	.00	.00	52,791.00
6002	Animal Orders	.00	373.35	.00	-373.35
6010	Veterinary Drugs	.00	348.15	.00	-348.15
6020	Animal Care	38,000.00	29,070.33	.00	8,929.67
6646	Service/Maint Research	.00	387.00	.00	-387.00
6795	Lab Chemicals & Hazardous Materials	.00	5,060.00	.00	-5,060.00
6811	Other Lab Supplies	.00	52,512.81	383.00	-52,895.61
6845	IT Peripherals and Supplies	.00	69.00	.00	-69.00
*TOTAL Level Supplies		90,791.00	90,834.05	383.00	-426.05
7530	Graduate Tuition Fee Charge	.00	.00	.00	.00
*TOTAL Level Student Fees/Expenses		.00	.00	.00	.00
7635	Out-of-State Travel	5,500.00	5,435.25	.00	64.75
*TOTAL Level Travel - Domestic		5,500.00	5,435.25	.00	64.75
7630	Foreign Travel	2,000.00	636.35	.00	1,363.65
*TOTAL Level Travel - Foreign		2,000.00	636.35	.00	1,363.65
*TOTAL Type Direct Costs		263,980.55	189,311.88	21,593.57	53,075.10
9900	ICR Expense	153,108.45	109,800.94	.00	43,307.51
*TOTAL Level Indirect Costs		153,108.45	109,800.94	1.00	43,307.51
*TOTAL Type Indirect Costs		153,108.45	109,800.94	2.00	43,307.51
TOTAL		417,089.00	299,112.82	21,593.57	96,382.61

RE-BUDGETS

What are indirect costs (IDCs) and how do they affect a re-budget?

When re-budgeting, sometimes indirect costs have to be taken into consideration.

Per the Uniform Guidance, indirects impact a re-budget for the following:

- Sub-awards (only the first \$25,000 is subject to indirects)
 - Equipment – now = over \$5,000, varies by institution
 - Graduate Tuition – varies by institution
 - Participant Support Costs
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RE-BUDGET EXAMPLE 2

11,000 is needed to purchase Equipment on a grant with 58% IDC

	$\$11,000/1.58$	=	$\$6,962.03$	Direct Costs
	$\$6,962.03 * 58\%$	=	$\$4,037.97$	Indirect Costs

	A	B	C	D	E	F
1	IDC Rate	Directs	Indirects	Ending amount		
2	0.58	\$ 6,962.03	\$4,037.97	\$ 11,000.00		
3						
4						

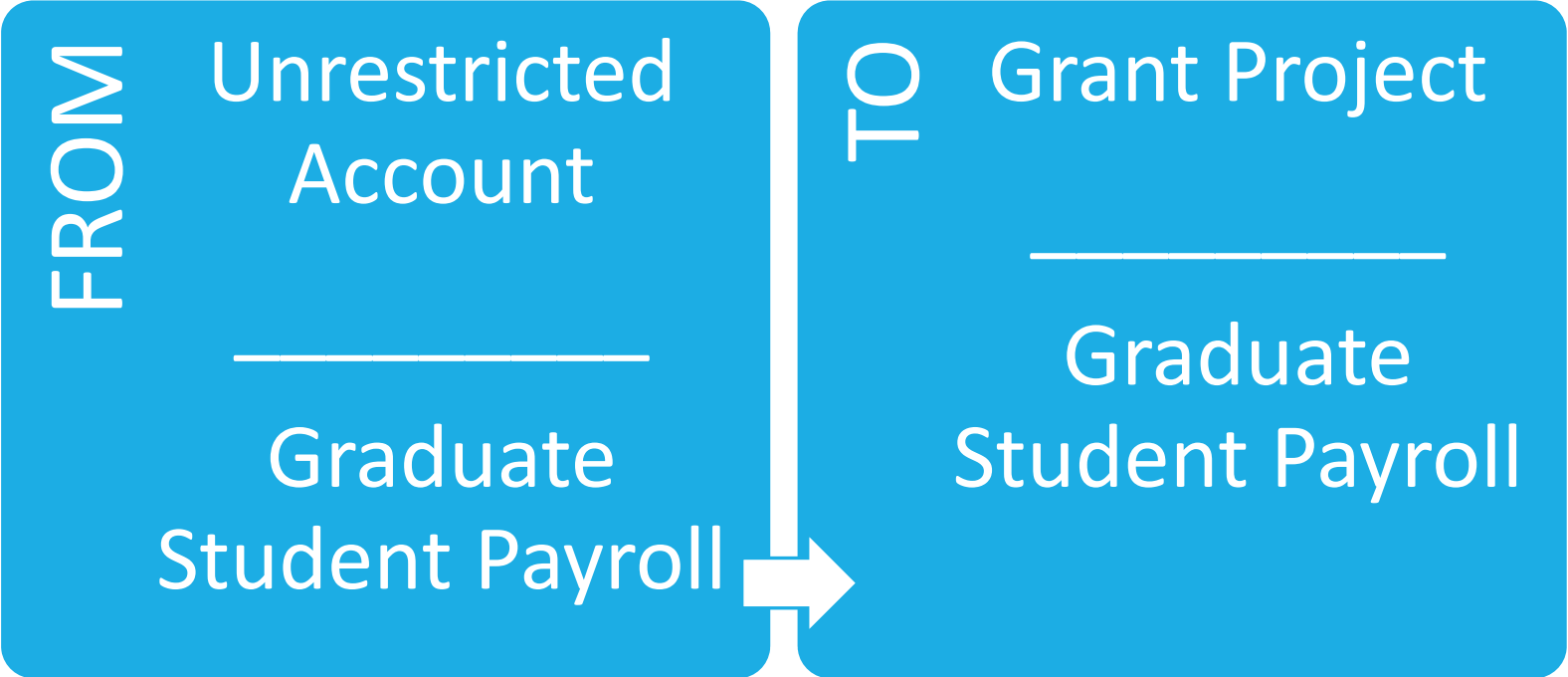
COST TRANSFERS

What does a Cost Transfer do?

Why would a cost transfer be needed?




COST TRANSFER EXAMPLE



COST TRANSFERS

What can make it problematic for a cost transfer to be approved?:

PLEASE Note: you can only cost transfer expenditures,
NEVER can you transfer funds.



NO COST EXTENSION

A request for additional time to complete the original scope/aims of the project with no additional funding from the sponsor.

****NOTE:** UConn Sponsored Program Office has been granted “expanded authority” so they only have to notify the sponsor before granting a 1-year extension on most Federal grants. If a PI wants a 2nd extension, the agency would have to approve.

Check what authority approval your institution has



NO COST EXTENSION

What are some common no cost extension examples from your institution?



CLOSE OUT PROCEDURES

90 days before end date:

- Review account, discuss spending plan and project activities with the PI
 - Check encumbrances still pending
- Effort report review
- Review other grant-related accounts (if applicable)

What is your closeout procedure?



SUMMARY

- What is post award?
- Systems
- References
- Post award
 - Pre-Award/Advance requests
 - Re-budgets (REB)
 - Cost Transfers (CT)
 - No cost extensions (NCE)
 - Closeout
- Tips
- Parking Lot

POST-AWARD 101

Thank you!

Kyle Lewis

Deborah McIntosh

kyle.2.lewis@uconn.edu

deborah.mcintosh@uconn.edu